NC-TOPPS Mental Health and Substance Use Disorder		
Child (Ages 6-1	1) Initial Interview	
	<i>not mail.</i> Enter data into web-based system: I-health/nc-treatment-outcomes-and-program-performance-system)	
OP First Initial & Last Name	I certify that I am the QP who has conducted and completed this	
	interview.	
	QP Signature: Date:	
Please provide the following consumer information:	7. What kind of benefits and/or insurance does your child have?	
LME-MCO Assigned Consumer Record Number:	(mark all that apply)	
	None SSI Medicaid	
Consumer Date of Birth:	SSDI Medicare	
	Private insurance/health plan	
	TRICARE/Military Coverage	
Consumer Gender:	8. Is your child currently enrolled in school or courses that satisfy requirements for a certification, diploma or degree?	
Male Female	(Enrolled includes school breaks, suspensions, and expulsions)	
First three letters of consumer's last name:	\Box Yes \Box No -> (skip to 9)	
First letter of consumer's first name:	b. What program(s) is your child currently enrolled in for credit? (mark all that apply)	
First letter of consumer's first name:	Alternative Learning Program (ALP)/School	
Consumer County of Residence:	\square Academic schools (K-12)	
CNDS ID Number	Private Home School by parents/guardians	
	Homebound Instruction by public/private school	
Medicaid ID Number (optional)	Incarceration/Detention/Youth Development Centers Other	
	9. Does your child have an Individualized Education Program (IEP)	
	(program or plan for special education and related services)?	
Medicaid County of Residence:	Yes No	
Provider Internal Consumer Record Number (optional)	10. What grade is your child currently in?	
	11. For your child's most recent reporting period, what grades	
Local Area Code (Reporting Unit Number) (optional)	did s/he get most of the time? (mark only one)	
	A's B's C's D's F's School does not use traditional	
	grading system b. If school does not use traditional grading system, for your child's	
Please select the appropriate age/disability category(ies)		
for which the individual will be receiving services and supports.	Pass Fail The the past 2 mention has your shild been	
Child Mental Health, age 6-11	12. In the past 3 months, has your child been a. suspended from school? Yes No	
Admission Date (date of first paid service for this	b. expelled from school? Yes No	
episode of care):	13. In the past 3 months, how often have your child's	
	problems interfered with play, school, or other daily activities?	
Begin Interview	14. In the past year, how many times has your child moved	
1. Please select all services the consumer is currently	residences?> (enter zero, if none)	
 receiving. (See Attachment I) 2. Please indicate the DSM-5 diagnostic classification(s) 		
for this individual. (See Attachment II)	15. In the past 3 months, <u>where</u> did your child live most of the time?	
3. Is your child of Hispanic, Latino, or Spanish origin?	\Box In a family setting (private or foster home) \rightarrow (skip to 16)	
Yes No	\Box Residential program (group home, PRTF) \rightarrow (answer b)	
4. Which of these groups best describes your child? African American/Black Alaska Native	Institutional setting (hospital or detention center/jail) \rightarrow (skip to 16)	
White/Anglo/Caucasian	Homeless \rightarrow (answer c)	
Multiracial Pacific Islander	 Temporary housing -> (answer d) b. If residential program, please specify the type of residential program 	
American Indian/Native American Other	your child lived in most of the time in the past 3 months.	
5. Is a member of your child's immediate family or household currently serving in or has served in the	Therapeutic foster home	
Military, Military Reserve, or National Guard?	Level III group home	
Yes, family member No	 Level IV group home State-operated residential treatment center 	
6. At any time in the past, has your child been suspected of having a head or brain injury?	State-operated residential treatment center	
□ Yes □ No □ Not sure		

Confidentiality of SA and MH consumer-identifying information is protected under Federal regulations 42 CFR Part 2 and the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91 (HIPAA) or implementing regulations, 45 CFR Parts 160 and 164. Consumer-identifying information may be disclosed without the individual's consent to the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) and to its authorized evaluation contractors under the audit or evaluation exception. Redisclosure of consumer-identifying information without the individual's consent is explicitly prohibited. Your questions may be directed to (919) 515-1310. Sponsored by the NC MH/DD/SAS. Page 1

NC-TOPPS Mental Health and Substance Use Disorder

Initial Interview

Use this form for backup only. <u>Do not mail.</u> Enter data into web-based system: (http://www.ncdhhs.gov/providers/provider-info/mental-health/nc-treatment-outcomes-and-program-performance-system)		
c. If homeless, please specify your child's living situation most of	27. How many times has your child had a petition filed for	
the time in the past 3 months. Given Sheltered (homeless shelter or domestic violence shelter)	any offense (enter zero, if none)	
Unsheltered (on the street, in a car, camp)	a. in the past month	
d. If <i>temporary housing</i> , please specify your child's living situation most of the time in the past 3 months.	b. in the past year	
 Unstable housing with frequent moves to and from relative's/ friend's homes Hotel/motel 	c. in their lifetime	
16. Was this living arrangement in your child's home	28. Does your child have a Court Counselor or is your child	
community?	currently under the supervision of the juvenile justice system?	
17. How long has it been since your child last visited a	29. In the past 3 months, has your child	
physical health care provider for a routine check up?	a. had contacts with an emergency crisis provider?	
Never	□ Yes □ No	
Within the past year	b. had <u>visits</u> to a hospital emergency room?	
Within the past 2 years	c. spent nights in a medical/surgical hospital?	
Within the past 5 years	(excluding birth delivery)	
More than 5 years ago	Yes INO No d. spent nights in a psychiatric inpatient hospital?	
18. How long has it been since your child last visited a	\square Yes \square No	
dentist for a routine check up?	e. spent <u>nights</u> homeless? (sheltered or unsheltered)	
	Yes No	
Within the past year	f. spent <u>nights</u> in detention, jail, or prison? (adult or juvenile system)	
Within the past 2 years	Yes No	
Within the past 5 years	30. Other than yourself, how many active, stable relationship(s) with adult(s) who serve as positive role models does your child	
More than 5 years ago	have? (i.e., member of clergy, neighbor, family member, coach)	
19. In the past 3 months, how often did your child participate in extracurricular activities?	🗖 None	
\square Never \square A few times \square More than a few times	1 or 2	
20. Has your child used tobacco or alcohol?	□ 3 or more	
Yes No Don't know	31. How well has your child been doing in the following areas	
21. Has your child used illicit drugs or other substances	of his/her life in the past year? Excellent Good Fair Poor	
other than tobacco and alcohol?	a. Emotional well-being 🔲 🔲 🗍	
Yes No Don't know	b. Physical health	
22. In the past 3 months, how often has your child been hit, kicked, slapped, or otherwise physically hurt?	c. Relationships with family	
□ Never □ More than a few times	d. Living/Housing situation	
A few times Deferred	32. Did you receive a list or options, verbal or written, of places	
b. In the past 7 days, has your child been hit, kicked, slapped,	for your child to receive services? Yes, I received a list or options	
or otherwise physcially hurt?	□ No, I came here on my own	
	\Box No, nobody gave me a list or options	
23. In the past 3 months, how often has <u>your child</u> hit, kicked, slapped, or otherwise physically hurt someone?	33. Was your child's first service in a time frame that met	
Never More than a few times	his/her needs?	
A few times Deferred	Yes No	
24. In the past 3 months, how often has your child tried to	34. Does your child have a need for any of the following? (mark all that apply)	
hurt him/herself or cause him/herself pain on purpose	Wheelchair/Mobility equipment or services	
(such as cut, burned, or bruised self)?	Equipment or services due to a physical disability	
□ Never □ A few times □ More than a few times	Equipment or services due to being deaf/hard of hearing	
25. In your child's lifetime, has s/he ever attempted suicide?		
Yes No	Foreign language interpreter	
26. In the past 3 months, how often has your child had	Equipment or services due to being visually impaired	
thoughts of suicide?	Child care Other	
A few times Don't know	None of the above/NA	
	· · · · · · · · · · · · · · · · · · ·	

Confidentiality of SA and MH consumer-identifying information is protected under Federal regulations 42 CFR Part 2 and the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91 (HIPAA) or implementing regulations, 45 CFR Parts 160 and 164. Consumer-identifying information may be disclosed without the individual's consent to the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) and to its authorized evaluation contractors under the audit or evaluation execption. Redeption. Redeption formation information without the individual's consent is explicitly prohibited. Your questions may be directed to (919) 515-1310. Sponsored by the NC MH/DD/SAS.

NC-TOPPS Mental Health a	nd Substance Use Disorder			
Child (Ages 6-11)	Initial Interview			
Use this form for backup only. <u>Do not mail.</u> Enter data into web-based system: (http://www.ncdhhs.gov/providers/provider-info/mental-health/nc-treatment-outcomes-and-program-performance-system				
35. Did your child and/or family have difficulty entering treatment because of problems with (mark all that apply) No difficulties prevented your child from entering treatment Active mental health symptoms (anxiety or fear, agoraphobia, paranoia, hallucinations) Active substance use disorder symptoms (addiction, relapse) Physical health problems (severe illness, hospitalization) Family or guardian issues (controlling spouse, family illness, child or elder care, domestic violence, parent/guardian cooperation) Treatment offered did not meet needs (availability of appropriate services, type of treatment wanted by consumer not available, favorite therapist quit, etc.) Engagement issues (AWOL, doesn't think s/he has a problem, denia runaway, oversleeps) Cost or financial reasons (no money for cab, treatment cost) Stigma/Discrimination (race, gender, sexual orientation) Treatment/Authorization access issues (insurance problems, waiting list, paperwork problems, red tape, lost Medicaid card, referral issues, citizenship, etc.) Being deaf/hard of hearing Language or communication issues (foreign language issues, lack of interpreter, etc.) Legal reasons (incarceration, arrest) Transportation/Distance to provider Scheduling issues (work or school conflicts, appointment times not workable, no phone) Lack of stable housing Personal safety (domestic violence, intimidation or punishment)	□ Legal issues □ Volunteer opportunities □ None of the above 37. In the past month, how would you describe your child's mental health symptoms? □ Extremely Severe □ Severe □ Moderate □ Mild □ Not present 38. In the past month, if your child has a current prescription for psychotropic medications, how often has your child taken this medication as prescribed? □ No prescription □ Sometimes □ All or most of the time □ Rarely or never For Data Entry User (DEU) only: This printable interview form must be signed by the QP who completed the interview for this consumer. □ Does this printable interview form have the QP's signature (see page 1)? □ Yes □ No NOTE: This entire signed printable interview form must be placed in the consumer's record.			
End of interview				

Enter data into web-based system:

http://www.ncdhhs.gov/providers/provider-info/mental-health/ nc-treatment-outcomes-and-program-performance-system

Do not mail this form

Confidentiality of SA and MH consumer-identifying information is protected under Federal regulations 42 CFR Part 2 and the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91 (HIPAA) or implementing regulations, 45 CFR Parts 160 and 164. Consumer-identifying information may be disclosed without the individual's consent to the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) and to its authorized evaluation contractors under the audit or evaluation exception. Redisclosure of consumer-identifying information without the individual's consent is explicitly prohibited. Your questions may be directed to (919) 515-1310. Sponsored by the NC MH/DD/SAS.

Attachment I:		
NC-TOPPS Services		

Community Based Services

☐ Intensive In-Home Services (IIH) - H2022

□ Multisystemic Therapy Services (MST) - H2033

Facility Based Day Services

Mental Health - Partial Hospitalization - H0035

Child and Adolescent Day Treatment - H2012 HA

Residential Services

Behavioral Health - Long Term Residential - H0019

Residential Treatment - Level II - Program Type (Therapeutic Behavioral Services) - H2020

Psychiatric Residential Treatment Facility - YA230

Group Living - High - YP780

Therapeutic Foster Care Services

Residential Treatment - Level II - Family Type (Foster Care Therapeutic Child) - S5145

Other Services

Service Code: _____ Service Description: __

Attachment II:		
DSM-5 Diagnostic Classifications		

Neurodevelopmental D	isorders	
Learning Disorders (315.00, 315.1, 315.2)	Autism Spectrum Disorder (299.00)	
Communication Disorders (307.9, 315.35, 315.39)	Attention-Deficit/Hyperactivity Disorder (314.00, 314.01)	
Intellectual Disabilities (315.8, 317, 318.0, 318.1, 318.2, 319)	□ Other Neurodevelopmental Disorders (315.8, 315.9)	
Motor and Tic Disorders (307.20, 307.21, 307.22, 307.23, 307.3, 315.4)		
Substance-Related and Addic	tive Disorders	
Alcohol-Related Disorders (303.90, 305.00)		
🗖 (Other) Drug-Related Disorders (304.00, 304.	10, 304.20, 304.30, 304.40,	
3 04.50, 304.60, 305.20, 305.30, 305.40, 305.50, 305.60, 305.70, 305.90)		
Gambling Disorder (312.31)		
Schizophrenia Spectrum and Other		
Schizophrenia and Other Psychotic Disorders (293.81, 293.82, 293)	.89, 295.40, 295.70, 295.90, 297.1, 298.8, 298.9)	
Bipolar and Related Di		
Bipolar I Disorder (296.40, 296.41, 296.42, 29		
296.50, 296.51, 296.52, 296.53, 296.54, 296.	55, 296.56, 296.7)	
 Bipolar II Disorder (296.89) Cyclothymic Disorder (301.13) 		
Depressive Disord		
Major Depressive Disorder (296.20, 296.21, 29 296.26, 296.30, 296.31, 296.32, 296.33, 296.	34, 296.35, 296.36)	
Persistent Depressive Disorder (Dysthymia) (3)	00.4)	
Other Depressive Disorders (296.99, 311, 625.	.4)	
Anxiety Disorder	<u>s</u>	
Anxiety Disorders (300.00, 300.01, 300.02, 300.09, 30	0.22, 300.23, 300.29, 309.21, 312.23)	
Obsessive-Compulsive and Re	lated Disorders	
Obsessive-Compulsive and Other Related Disorde	rs (300.3, 300.7, 312.39, 698.4)	
Trauma- and Stressor-Relat	ed Disorders	
Posttraumatic Stress Disorder (PTSD) (309.81)		
Adjustment Disorders (309.0, 309.24, 309.28, 3	309.3, 309.4)	
Other Trauma- and Stressor-Related Disorders	(308.3, 309.89, 309.9, 313.89)	
Dissociative Disorde	ers	
Dissociative disorders (300.12, 300.13, 3	00.14, 300.15, 300.6)	
Disruptive, Impulse-Control, and C	Conduct Disorders	
Conduct Disorder (312.81, 312.82, 312.89)	se Control Disorders (312.32, 312.33, 312.34)	
Oppositional Defiant Disorder (313.81)	Disruptive Behavior Disorders (312.89, 312.9)	
<u>Gender Dysphoria Disc</u>	orders	
Gender Dysphoria Disorders (3)	302.6, 302.85)	
Neurocognitive Diso	rders	
Delirium Disorders (292.81, 293.0, 780.09)		
Major and Mild Neurocognitive Disorders (290.40, 294)	4.10, 294.11, 331.83, 331.9, 799.59)	
Personality Disord		
	Cluster C Personality Disorders (301.4, 301.6, 301.82)	
	Other Personality Disorders (301.89, 301.9)	
Feeding and Eating Disorders		
Anorexia Nervosa (307.1)		
Other Feeding and Eating Disorders (307.50, 30)	07.51, 307.52, 307.53, 307.59)	
Other Disorders		
Somatic Symptom and Related Disorders (300.11, 300.19, 300.7, 300.82, 3	00.89, 316) Other Conditions That May Be	
Elimination Disorders (307.6, 307.7, 787.60, 788.30, 788.39)	(V-codes, 999.xx)	
Sexual Dysfunction Disorders (302.70, 302.71, 302.72, 302.73, 302.74, 302		
□ Sleep-Wake Disorders (307.45, 307.46, 307.47, 327.21, 327.23, 327.24, 32 333.94, 347.00, 347.01, 780.52, 780.54, 780.57, 780.59, 786.04)	above)	
□ Paraphilic Disorders (302.2, 302.3, 302.4, 302.81, 302.82, 302.83, 302.84,		