#### NC-TOPPS Mental Health and Substance Use Disorder

Child (Ages 6-11)

Use this form for backup only. <u>Do not mail.</u> Enter data into web-based system:  (http://www.ncdhhs.gov/providers/provider-info/mental-health/nc-treatment-outcomes-and-program-performance-system)		
OP First Initial & Last Name	certify that I am the QP who has conducted and completed this	
	terview.	
Q <sup>p</sup>	P Signature: Date:	
Type of Interview (mark only one)  ☐ 3 month update ☐ 12 month update	b. If <i>food supply</i> , how helpful have the program services been in supplying food as needed?	
☐ 6 month update ☐ Other bi-annual update (18-month,	☐ Not helpful ☐ Somewhat helpful ☐ Very helpful ☐ NA	
24-month, 30-month, etc.)	5. In the past 3 months, has the individual's family or	
Please provide the following consumer information:  LME-MCO Assigned Consumer Record Number:	guardian been involved in any contact with staff concerning any of the following? (mark all that apply)  Treatment services	
Consumer Date of Birth:	☐ Person-centered planning	
	☐ None of the above	
	Section II: Complete items 6-25 using information from	
Consumer Gender:	the individual's interview (preferred) or consumer record.	
☐ Male ☐ Female ☐	6. How are the next section's items being gathered?	
First three letters of consumer's last name:	(mark all that apply)	
<del></del>	☐ In-person interview (preferred)	
First letter of consumer's first name:	Telephone interview	
	☐ Clinical record/notes	
Consumer County of Residence:	7. Does your child and/or family ever have difficulty	
CNDS ID Number	participating in treatment because of problems with	
	(mark all that apply)	
Medicaid ID Number (optional)	☐ No difficulties prevented your child from entering treatment	
	Active mental health symptoms (anxiety or fear, agoraphobia, paranoia, hallucinations)	
Medicaid County of Residence:	$\square$ Active substance use disorder symptoms (addiction, relapse)	
Provider Internal Consumer Record Number (optional)	☐ Physical health problems (severe illness, hospitalization)	
	Family or guardian issues (controlling spouse, family illness, child or elder care, domestic violence, parent/guardian	
Local Area Code (Reporting Unit Number) (optional)	cooperation)  Treatment offered did not meet needs (availability of	
	appropriate services, type of treatment wanted by consumer not available, favorite therapist quit, etc.)	
Please select the appropriate age/disability category(ies) for	Engagement issues (AWOL, doesn't think s/he has a problem,	
which the individual will be receiving services and supports.	denial, runaway, oversleeps)	
Child Mental Health, age 6-11	$\square$ Cost or financial reasons (no money for cab, treatment cost)	
Begin Interview	☐ Stigma/Discrimination (race, gender, sexual orientation)	
1. Please select all services the consumer is currently receiving	neather Authorization access issues (insurance problems,	
<b>2.</b> Please indicate the DSM-5 diagnostic classification(s) for	waiting list, paper work problems, red tape, lost medicald card,	
this individual. (See Attachment II)	referral issues, citizenship, etc.)  Being deaf/hard of hearing	
3. Since the last interview, the consumer has attended	Language or communication issues (foreign language issues,	
scheduled treatment sessions  ☐ All or most of the time ☐ Sometimes ☐ Rarely or never	lack of interpreter, etc.)	
4. Since the individual started services for this episode of	Legal reasons (incarceration, arrest)	
treatment, which of the following areas has the individual	☐ Transportation/Distance to provider	
received help? (mark all that apply)	Scheduling issues (work or school conflicts, appointment times	
☐ Educational improvement	not workable, no phone)	
<ul><li>☐ Housing (basic shelter or rent subsidy)</li><li>☐ Transportation</li></ul>	☐ Lack of stable housing	
☐ Food supply -> (answer b)	Personal safety (domestic violence, intimidation or	
☐ Child Care	punishment)	
☐ Medical Care		
☐ Dental care		
Screening/Treatment referral for HIV/TB/HEP		
Legal issues		
☐ Volunteer opportunities —> (cont.)		

#### **NC-TOPPS** Mental Health and Substance Use Disorder

Child (Ages 6-11)

**Update Interview** 

Use this form for backup only. Do not mail. Enter data into web-based system: (http://www.ncdhhs.gov/providers/provider-info/mental-health/nc-treatment-outcomes-and-program-performance-system) 8. Is your child currently enrolled in school or courses that 19. In the past 3 months, where did your child live most of the satisfy requirements for a certification, diploma or degree? time? (Enrolled includes school breaks, suspensions, and expulsions)  $\square$  In a family setting (private or foster home)  $\rightarrow$  (skip to 20) ☐ Yes  $\square$  No  $\rightarrow$  (skip to 9)  $\square$  Residential program (group home, PRTF)  $\rightarrow$  (answer b) b. What program(s) is your child currently enrolled in for credit? ☐ Institutional setting (hospital or detention center/iail) (mark all that apply) -> (skip to 20) ☐ Alternative Learning Program (ALP)/School  $\square$  Homeless  $\rightarrow$  (answer c) ☐ Academic schools (K-12) ☐ Temporary housing → (answer d) ☐ Private Home School by parents/guardians b. If residential program, please specify the type of residential ☐ Homebound Instruction by public/private school program your child lived in most of the time in the past 3 months. ☐ Incarceration/Detention/Youth Development Centers ☐ Therapeutic foster home □ Other ☐ Level III group home 9. Does your child have an Individualized Education Program ☐ Level IV group home (IEP) (program or plan for special education and related ☐ State-operated residential treatment center services)? ☐ Psychiatric Residential Treatment Facility (PRTF) Yes ☐ No 10. What grade is your child currently in? c. If homeless, please specify your child's living situation most of the time in the past 3 months. ☐ Sheltered (homeless shelter or domestic violence shelter) 11. Since beginning treatment, your child's school attendance has.. ☐ Unsheltered (on the street, in a car, camp) ☐ improved stayed the same gotten worse d. If temporary housing, please specify your child's living situation 12. For your child's most recent reporting period, what grades most of the time in the past 3 months.  $\hfill\Box$  Unstable housing with frequent moves to and from relative's/ friend's homes did s/he get most of the time? (mark only one)  $\square$  A's  $\square$  B's  $\square$  C's  $\square$  D's  $\square$  F's  $\square$  School does not use ☐ Hotel/motel traditional grading system b. If school does not use traditional grading system, for your child's 20. Was this living arrangement in your child's home most recent reporting period, did s/he pass or fail most of the time? community? ☐ Pass ☐ Yes ☐ No 13. In the past 3 months, has your child been... 21. In the past 3 months, has your child received any residential services outside of his/her home community? a. suspended from school? ☐ Yes ☐ No Yes b. expelled from school? ☐ Yes ■ No 22. In the past 3 months, has your child used tobacco or alcohol? 14. In the past 3 months, how often did your child participate ☐ Yes in extracurricular activities? ☐ Don't know 23. In the past 3 months, has your child used illicit drugs or ☐ A few times ☐ More than a few times other substances other than tobacco and alcohol? 15. In the past 3 months, how often have your child's ☐ Yes Nο ■ Don't know problems interfered with play, school, or other daily activities? 24. In the past month, how many times has your ☐ More than a few times ☐ Never ☐ A few times child had a petition filed for any offense? 16. In the past month, how would you describe your child's (enter zero, if none) mental health symptoms? 25. Does your child have a Court Counselor or is your child ☐ Extremely Severe currently under the supervision of the juvenile justice system? ☐ Severe ☐ Yes ■ Moderate Section III: This next section includes questions which are important in determining consumer outcomes. These □ Mild questions require that they be asked directly to the ■ Not present respondent either in-person or by telephone. 17. In the past month, if your child has a current prescription 26. Is the respondent present for an in-person or telephone for psychotropic medications, how often has your child taken interview or have you directly gathered information from the this medication as prescribed? respondent within the past two weeks? ■ No prescription ☐ Yes - Complete items 27-37 ☐ All or most of the time No - Stop here ☐ Sometimes 27. Since the last interview, has your child visited a physical health care provider for a routine check up? □ Rarely or never 18. In the past 3 months, how many times has your child 28. Since the last interview, has your child visited a dentist for moved residences? a routine check up? (enter zero, if none) ☐ Yes □ No

Confidentiality of SA and MH consumer-identifying information is protected under Federal regulations 42 CFR Part 2 and the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91 (HIPAA) or implementing regulations, 45 CFR Parts 160 and 164. Consumer-identifying information may be disclosed without the individual's consent to the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) and to its authorized evaluation contractors under the audit or evaluation exception. Redisclosure of consumer-identifying information without the individual's consent is explicitly prohibited. Your questions may be directed to (919) 515-1310. Sponsored by the NC MH/DD/SAS.

# NC-TOPPS Mental Health and Substance Use Disorder Child (Ages 6-11) Update Interview

Use this form for backup only. <u>Do not mail.</u> Enter data into web-based system:

(http://www.ncdhhs.gov/providers/provider-info/mental-heal	${\sf th/nc ext{-}treatment ext{-}outcomes ext{-}and ext{-}program ext{-}performance ext{-}system)}$		
29. Other than yourself, how many active, stable relationship(s) with adult(s) who serve as positive role models does your child have? (i.e., member of clergy, neighbor, family member, coach)  None 1 or 2 3 or more  30. In the past 3 months, how often has your child been hit, kicked, slapped, or otherwise physically hurt?  Never A few times More than a few times Deferred  31. In the past 3 months, how often has your child hit, kicked, slapped, or otherwise physically hurt someone?  Never A few times More than a few times Deferred  32. Since the last interview, how often has your child tried to hurt him/herself or cause him/herself pain on purpose (such as cut, burned, or bruised self)?	36. In the past 3 months, has your child a. had contacts with an emergency crisis provider?  ☐ Yes ☐ No b. had visits to a hospital emergency room? ☐ Yes ☐ No c. spent nights in a medical/surgical hospital? (excluding birth delivery) ☐ Yes ☐ No d. spent nights in a psychiatric inpatient hospital? ☐ Yes ☐ No e. spent nights homeless? (sheltered or unsheltered) ☐ Yes ☐ No f. spent nights in detention, jail, or prison? (adult or juvenile system) ☐ Yes ☐ No		
33. Since the last interview, how often has your child had thoughts of suicide?  Never A few times Don't know  34. Since the last interview, has your child attempted suicide? Yes No  35. In the past 3 months, how well has your child been doing in the following areas of his/her life?  Excellent Good Fair Poor  a. Emotional well-being Good Fair Poor  b. Physical health Good Fair Poor  c. Relationships with family	a. improving the quality of your child's life?  Not helpful Somewhat helpful Very helpful NA b. decreasing your child's symptoms?  Not helpful Somewhat helpful Very helpful NA c. increasing your child's hope about the future?  Not helpful Somewhat helpful Very helpful NA d. increasing your child's control over his/her life?  Not helpful Somewhat helpful Very helpful NA e. improving your child's educational status?  Not helpful Somewhat helpful Very helpful NA e. improving your child's educational status?  Not helpful Somewhat helpful Very helpful NA e. improving your child's educational status?  This printable interview form must be signed by the QP who completed the interview for this consumer.		
d. Living/Housing situation \  \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qqqqqqqqqqqqqqqqqqqqqqqqqqqqqqqqqqqq	Does this printable interview form have the QP's signature (see page 1)?  Yes No		
	NOTE: This entire signed printable interview form must be placed in the consumer's record.		
End of interview			
Enter data into web-based system:  http://www.ncdhhs.gov/providers/provider-info/mental-health/ nc-treatment-outcomes-and-program-performance-system <u>Do not mail this form</u>			

# **Attachment I: NC-TOPPS Services**

Community Based Services		
☐ Intensive In-Home Services (IIH) - H2022		
☐ Multisystemic Therapy Services (MST) - H2033		
☐ Multisystemic Therapy Services (MST) - H2033		
Facility Based Day Services		
☐ Mental Health - Partial Hospitalization - H0035		
☐ Child and Adolescent Day Treatment - H2012 HA		
Residential Services		
☐ Behavioral Health - Long Term Residential - H0019		
Residential Treatment - Level II - Program Type (Therapeutic Behavioral Services) - H2020		
Psychiatric Residential Treatment Facility - YA230		
☐ Group Living - High - YP780		
<u>Therapeutic Foster Care Services</u>		
Residential Treatment - Level II - Family Type (Foster Care Therapeutic Child) - S5145		
Other Services		
Service Code: Service Description:		

Version 07/01/2020

### Attachment II: DSM-5 Diagnostic Classifications

<u>Neurodevelopment</u>	<u>tal Disorders</u>	
☐ Learning Disorders (315.00, 315.1, 315.2)	☐ Autism Spectrum Disorder (299.00)	
☐ Communication Disorders (307.9, 315.35, 315.39)	☐ Attention-Deficit/Hyperactivity Disorder (314.00, 314.01)	
☐ Intellectual Disabilities (315.8, 317, 318.0, 318.1, 318.2, 319)	☐ Other Neurodevelopmental Disorders (315.8, 315.9)	
☐ Motor and Tic Disorders (307.20, 307.21, 307.22, 307.23, 307.3, 315	.4)	
Substance-Related and A	Addictive Disorders	
☐ Alcohol-Related Disorders (303.90, 305.	<del>-</del>	
(304.00,	•	
<b>3</b> 04.50, 304.60, 305.20, 305.30, 305.40	, 305.50, 305.60, 305.70, 305.90)	
☐ Gambling Disorder (312.31)		
Schizophrenia Spectrum and O	ther Psychotic Disorders	
☐ Schizophrenia and Other Psychotic Disorders (293.81, 293.82	, 293.89, 295.40, 295.70, 295.90, 297.1, 298.8, 298.9)	
Bipolar and Relate	ed Disorders	
☐ Bipolar I Disorder (296.40, 296.41, 296.42, 296.43, 296.44, 296.45, 296.46, 296.50, 296.51, 296.52, 296.53, 296.54, 296.55, 296.56, 296.7)		
☐ Bipolar II Disorder (296.89)	,	
☐ Cyclothymic Disorder (301.13)		
Depressive Die	sorders	
Major Depressive Disorder (296.20, 296.21, 296.22, 296.23, 296.24, 296.25, 296.26, 296.30, 296.31, 296.32, 296.33, 296.34, 296.35, 296.36)		
☐ Persistent Depressive Disorder (Dysthymi	ia) (300.4)	
☐ Other Depressive Disorders (296.99, 311)	, 625.4)	
Anxiety Diso	<u>rders</u>	
☐ Anxiety Disorders (300.00, 300.01, 300.02, 300.0	9, 300.22, 300.23, 300.29, 309.21, 312.23)	
Obsessive-Compulsive and	d Related Disorders	
☐ Obsessive-Compulsive and Other Related Di	sorders (300.3, 300.7, 312.39, 698.4)	
Trauma- and Stressor-R	Related Disorders	
☐ Posttraumatic Stress Disorder (PTSD) (309	9.81)	
☐ Adjustment Disorders (309.0, 309.24, 309	9.28, 309.3, 309.4)	
☐ Other Trauma- and Stressor-Related Disor		
Dissociative Dis	orders	
☐ Dissociative disorders (300.12, 300.14, 300.15, 300.6)		
Disruptive, Impulse-Control, a	and Conduct Disorders	
<u> </u>	Impulse Control Disorders (312.32, 312.33, 312.34)	
	Other Disruptive Behavior Disorders (312.89, 312.9)	
Gender Dysphoria		
☐ Gender Dysphoria Disord		
Neurocognitive	Disorders	
☐ Delirium Disorders (292.81, 293.0, 780.09)	<u>Districts</u>	
☐ Major and Mild Neurocognitive Disorders (290.40	0 294 10 294 11 331 83 331 9 799 59)	
Personality Di		
Cluster A Personality Disorders (301.0, 301.20, 301.22)	Cluster C Personality Disorders (301.4, 301.6, 301.82)	
☐ Cluster B Personality Disorders (301.50, 301.7, 301.81, 301.83)	Other Personality Disorders (301.89, 301.9)	
Feeding and Eatin  ☐ Anorexia Nervosa (307.1)	g Disorders	
☐ Other Feeding and Eating Disorders (307.5)	50 307 51 307 52 307 53 307 59)	
Other Disor Somatic Symptom and Related Disorders (300.11, 300.19, 300.7, 300.	82 300 89 316) Other Conditions That May Be	
☐ Elimination Disorders (307.6, 307.7, 787.60, 788.30, 788.39)	a Focus of Clinical Attention	
☐ Sexual Dysfunction Disorders (302.70, 302.71, 302.72, 302.73, 302.74	(V-codes, 999.xx) 4, 302.75, 302.76, 302.79) Other Mental Disorders and	
☐ Sleep-Wake Disorders (307.45, 307.46, 307.47, 327.21, 327.23, 327.2		
333.94, 347.00, 347.01, 780.52, 780.54, 780.57, 780.59, 786.04)	above)	
Paraphilic Disorders (302.2, 302.3, 302.4, 302.81, 302.82, 302.83, 302.83)	2.84, 302.89, 302.9) Version 07/01/2020	